

S. Ellis
4/1/04

14

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Rosalind Herman et al. Examiner: Rachel L. Porter
 Serial No. 09/873,864 Art Unit: 3626
 Filing Date: June 4, 2001
 Title: FOUNDATION FUNDS GENERATION SYSTEM AND METHOD
 Attorney Docket No.: 60697-015 (NFRK-001)

Certificate of Facsimile Transmission 37 CFR § 1.8

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (Fax No.: (703) 872-9306 on the date shown below.

March 30, 2004
Date

By: *David M. Mello*
David M. Mello

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO OFFICE ACTION

Dear Sir:

Introductory Comments

In response to the Office Action mailed December 30, 2003, please enter the following amendments to the claims and specification and consider the remarks provided herein. No new matter is presented by the amendments herein.